

Substitute for form 1449/PTO  
Revised 04/2003

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number	10/674,909
Filing Date	September 30, 2003
First Named Inventor	Nestor et al.
Group Art Unit	1731
Examiner Name	To Be Assigned
Attorney Docket Number	030627/267422

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
SMM ↓	70	US-4,716,913	01-05-1988	Brackmann	CLASS - SUB
	71	US-4,892,109	01-09-1990	Strubel	
	72	US-4,986,287	01-22-1991	Schneider et al.	
	73	US-5,060,667	10-29-1991	Strubel	
	74	US-5,265,626	11-30-1993	Schneider et al.	
	75	US-5,396,911	03-14-1995	Casey, III et al.	
	76	US-5,415,186	05-16-1995	Casey, III et al.	

## FOREIGN PATENT DOCUMENTS

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SMM ↓	77	EP 0 378 788 A1	07-25-1990	B.A.T. Cigaretten- Fabriken GmbH		Abstract
	78	EP 0 545 186 A2	06-09-1993	R. J. Reynolds Tobacco Company		
	79	EP 0 419 974 A2	04-03-1991	R. J. Reynolds Tobacco Company		

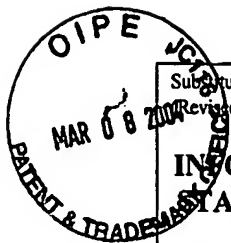
Examiner  
Signature

*W. Marks*

Date  
Considered

5-9-06

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. RTA 2177488v1



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SHTM	1	US-3,258,015	06-28-1966	Ellis <i>et al.</i>	Whole Document
	2	US-3,356,094	12-05-1967	Ellis <i>et al.</i>	Whole Document
	3	US-3,516,417	06-23-1970	Moses, C.S.	Whole Document
	4	US-3,738,374	06-12-1973	Bennett, H.	Whole Document
	5	US-3,844,294	10-29-1974	Webster, C.J.	Whole Document
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	10	US-4,079,742	03-21-1978	Rainer <i>et al.</i>	Whole Document
	11	US-4,233,993	11-18-1980	Miano <i>et al.</i>	Whole Document
	12	US-4,286,604	09-01-1981	Ehretsmann <i>et al.</i>	Whole Document
	13	US-4,326,544	04-27-1982	Hardwick <i>et al.</i>	Whole Document
	14	US-4,340,072	07-20-1982	Bolt <i>et al.</i>	Whole Document
	15	US-4,347,855	09-07-1982	Lanzillotti <i>et al.</i>	Whole Document
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	17	US-4,506,684	03-26-1985	Keritsis, G.D.	Whole Document
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Examiner Signature	<i>W. Mayes</i>	Date Considered	3-9-06
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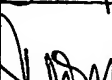
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26	US-4,947,874	08-14-1990	Brooks <i>et al.</i>	Whole Document	
27	US-4,961,438	10-09-1990	Korte, S.J.	Whole Document	
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35	US-5,065,776	11-19-1991	Lawson <i>et al.</i>	Whole Document	
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37	US-5,099,861	03-31-1992	Clearman <i>et al.</i>	Whole Document	
38	US-5,101,839	04-07-1992	Jakob <i>et al.</i>	Whole Document	
39	US-5,105,835	04-21-1992	Drewett <i>et al.</i>	Whole Document	
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49	US-5,234,008	08-10-1993	Fagg, B.S.	Whole Document	
50	US-5,249,588	10-05-1993	Brown <i>et al.</i>	Whole Document	
51	US-5,285,798	02-15-1994	Banerjee <i>et al.</i>	Whole Document	
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Examiner Signature	<i>[Signature]</i>	Date Considered	3-9-06
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53	US-5,360,022	11-01-1994	Newton <i>et al.</i>	Whole Document	
54	US-5,345,951	09-13-1994	Serrano <i>et al.</i>	Whole Document	
55	US-5,598,868	02-04-1997	Jakob <i>et al.</i>	Whole Document	
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57	US-5,778,899	07-14-1998	Saito <i>et al.</i>	Whole Document	
58	US-5,819,751	10-13-1998	Barnes <i>et al.</i>	Whole Document	
59	US-6,095,153	08-01-2000	Kessler <i>et al.</i>	Whole Document	
60	US-6,182,670 B1	02-06-2001	White <i>et al.</i>	Whole Document	
61	US-6,397,852 B1	06-04-2002	McAdam, K.G.	Whole Document	
62	US-6,408,856 B1	06-25-2002	McAdam, K.G.	Whole Document	
63	US-6,578,584 B1	06-17-2003	Beven <i>et al.</i>	Whole Document	

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	64	GB 1 431 045 A2	04-07-1976	Gallaher Limited	Whole Document	
	65	GB 2 070 409 A	09-09-1981	British-American Tobacco Company, Limited	Whole Document	
	66	WO 98/57556	12-23-1998	British American Tobacco Investments Limited	Whole Document	
	67	WO 03/092416 A1	11-13-2003	British American Tobacco (Investments) Limited	Whole Document	

Examiner Signature	<i>[Signature]</i> W. Mayes	Date Considered	8-9-06
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Sheet	4	of	4	Attorney Docket Number	030627/267422

OTHER DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
SDM	68	<i>Chemical and Biological Studies on New Cigarette Prototypes that Heat Instead of Burn Tobacco</i> , R. J. Reynolds Tobacco Company Monograph, 1988, pp. 43-72.	
SDM	69	<i>Inhalation Toxicology</i> , 2000, Vol. 12, No. 5, pp. 1-58.	

Examiner Signature	<i>W. Mayo</i>	Date Considered	8-9-06
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